#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             | IN THE UNITED STATES PATE  | NI AND TRADEMARK OFFICE  |  |  |  |  |  |
|-------------|--|--|--|--|--|--|--|
| In re Pate  | ent Application of   | )  |  |  |  |  |  |
| 1           | ouglas Allen   | Group Art Unit: 2155   |  |  |  |  |  |
| Application | on No.: 09/344,688   | Examiner: Lee, Wendy   |  |  |  |  |  |
| Filed: Ju   | ne 25, 1999  | ) Confirmation No.: 9340   |  |  |  |  |  |
| A<br>O      | METHOD FOR CONNECTION ACCEPTANCE CONTROL AND OPTIMAL MULTI-MEDIA CONTENT DELIVERY OVER NETWORKS                                  | }<br>}<br>}  |  |  |  |  |  |
|             |  | LOSURE STATEMENT SAL LETTER  |  |  |  |  |  |
|             | Commissioner for Patents on, D.C. 20231  |  |  |  |  |  |  |
| Sir:        |  |  |  |  |  |  |  |
|             | Inclosed is an Information Disclosure Standard patent application.   | tement and accompanying form PTO-1449 for the  |  |  |  |  |  |
| [           | ] No additional fee for submission of  | of an IDS is required.   |  |  |  |  |  |
| [3          | X] The fee of \$180.00 (1806) as set f   | The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.                               |  |  |  |  |  |
| [           | ] A statement under 37 C.F.R. § 1.97(e) is also enclosed.  |  |  |  |  |  |  |
| ]           | [ ] A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed. |  |  |  |  |  |  |
| [           | ] Charge \$to Deposit  | Account No. 02-4800 for the fee due.   |  |  |  |  |  |
| []          | X] A check in the amount of \$180.00   | is enclosed for the fee due.   |  |  |  |  |  |
| §§ 1.16, 1  |  | o charge any appropriate fees under 37 C.F.R. is paper, and to credit any overpayment, to mitted in duplicate. |  |  |  |  |  |
|             | Re   | espectfully submitted,   |  |  |  |  |  |
|             | В  | urns, Doane, Swecker & Mathis, l.l.p.  |  |  |  |  |  |
| (650) 622   | ia, Virginia 22313-1404<br>2-2300  | Khaled Shami<br>Registration No. 38,745  |  |  |  |  |  |
| Date: Ap    | oril 21, 2003  |  |  |  |  |  |  |



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of   | #1                     |
|-------------------------------|------------------------|
| Arthur Douglas Allen )        | Group Art Unit: 2155   |
| Application No.: 09/344,688 ) | Examiner: Lee, Wendy   |
| Filed: June 25, 1999 )        | Confirmation No.: 9340 |
| For: METHOD FOR CONNECTION )  |                        |
| ACCEPTANCE CONTROL AND )      |                        |
| OPTIMAL MULTI-MEDIA CONTENT ) |                        |
| DELIVERY OVER NETWORKS )      |                        |

### SECOND INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of the International Search Report and copies of each of the documents cited are enclosed.

#### **U.S. Documents**

6,052,384

Huang et al.

04-18-2000

## **Other Publications**

- 1. BEARD, C. et al., "Connection Admission Control for Differentiating Priority Traffic on Public Networks", *Military Communications Conference Proceedings*, 1999, *Milcom* 1999. IEEE Atlantic City, NJ, USA 31 Oct.-3 Nov. 1999, Piscataway, NJ, USA, IEEE, US (31 October 1999), pp 1401-1408
- 2. BRONSTEIN, SEMENDJAJEW, "Taschenbuch der Mathematik" (1989) *Harri Deutsh, Frankfurt Germany*, pp 695-698.
- 3. KIM, S. et al., "Call Admission Control for Prioritized Adaptive Multimedia Services in Wireless/Mobil Networks", VTC 2000-Spring. 2000 IEEE 51<sup>st</sup>. Vehicular Technology Conference Proceedings. Tokyo, Japan, May 15-18, 2000, IEEE Vehicular Technology Conference, New York, NY., (May 15, 2000) IEEE, US, Vol. 2 of 3: Conf. 51, pp. 1536-1540

04/23/2003 HDEHESS1 00000004 024800 09344688

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Information Disclosure Statement Transmittal Letter
Application No. 09/893,364
Attorney's Docket No. 032638-003
Page 2

4. REININGER, D. et al., "A Dynamic Quality of Service Framework for Video in Broadband Networks", *IEEE Network, IEEE Inc., New York, US* (Nov. 6, 1998), **12:6**, pp 22-34.

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with M.P.E.P. § 609A(3) an English language version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: April 21, 2003

Khaled Shami

Registration No. 38,745

P.O. Box 1404 Alexandria, Virginia 22313-1404 (650) 622-2300 Substitute for forms 1449A/PTO & 1449B/PTO

Signature

# SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APR 2 2 2003

| ATTORNEY'S DKT NO.   | APPLICATION NO. |  |  |  |  |
|----------------------|-----------------|--|--|--|--|
| 032638-007           | 09/344,688      |  |  |  |  |
| APPLICANT            |                 |  |  |  |  |
| Arthur Douglas Allen | ±10             |  |  |  |  |
| FILING DATE          | GROUP           |  |  |  |  |
| June 25, 1999        | 2155            |  |  |  |  |

| U.S. PATENT DOCUMENTS    |  |            |   |                                       |           |   |          |        |     |  |  |
|--------------------------|--|------------|---|---------------------------------------|-----------|---|----------|--------|-----|--|--|
| Examiner<br>Initials     | Document Kind Code Number (if known)   |            | Name of Patentee or Applicant of Cited Document |                                       |           | Issue/Publicatio Date (MM-DD-YYYY) 04-18-2000 |          | YY)    |     |  |  |
|                          | 6,052,384  |            | Huang et al.                                    | -                                     |           |   | 04       | -10-20 | ,00 |  |  |
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| FOREIGN PATENT DOCUMENTS |  |            |   |                                       |           |   |          |        |     |  |  |
| Examiner                 | Document   | Kind Code  |   | Date of Publi                         |           |   |          |        |     |  |  |
| Initials                 | Number   | (if known) | Cou   | ntry                                  |           | (MM-DD-YYY                                    | Υ)       | Yes    | No  |  |  |
|                          |  |            |   |                                       |           |   |          |        |     |  |  |
|                          |  |            |   | , , , , , , , , , , , , , , , , , , , |           |   |          |        |     |  |  |
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|                          |  | NON PA     | TENT LITERATUR                                  | E DOCUME                              | NTS       |   |          |        |     |  |  |
| Examiner<br>Initials     | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  |            |   |                                       |           |   |          |        |     |  |  |
|                          | KIM, S. et al., "Call Admission Control for Prioritized Adaptive Multimedia Services in Wireless/Mobil Networks", VTC 2000-Spring. 2000 IEEE 51 <sup>st</sup> . Vehicular Technology Conference Proceedings. Tokyo, Japan, May 15-18, 2000, IEEE Vehicular Technology Conference, New York, NY., (May 15, 2000) IEEE, US, Vol. 2 of 3: Conf. 51, pp. 1536-1540 |            |   |                                       |           |   |          |        |     |  |  |
|                          | REININGER, D. et al., "A Dynamic Quality of Service Framework for Video in Broadband Networks", <i>IEEE Network, IEEE Inc., New York, US</i> (Nov. 6, 1998), <b>12:6</b> , pp 22-34.   |            |   |                                       |           |   |          |        |     |  |  |
|                          | BEARD, C. et al., "Connection Admission Control for Differentiating Priority Traffic on Public Networks", <i>Military Communications Conference Proceedings</i> , 1999, <i>Milcom 1999. IEEE Atlantic City, NJ, USA 31 Oct3 Nov. 1999, Piscataway, NJ, USA, IEEE, US</i> (31 October 1999), pp 1401-1408   |            |   |                                       |           |   |          |        |     |  |  |
|                          |  |            | chenbuch der Mathematil                         | <" (1989) Harri D                     | Deutsh, F | rankfurt Germany                              | pp 69    | 5-698. |     |  |  |
| <del></del>              |  |            |   |                                       |           |   |          |        |     |  |  |
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|                          |  |            |   |                                       |           |   |          |        |     |  |  |
| Examiner                 |  |            |   | Date                                  |           |   | **       |        |     |  |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Considered